

FTA Section 5316 Job Access & Reverse
Commute (JARC)

&

FTA Section 5317 New Freedom (NF) Grants
Programs

**FFYs 2007-2009 Grant Application for Small
Urban and Non-Urban (Rural) Areas**

Agency (Applicant) Name: City of Lompoc

Address: 100 Civic Center Plaza

City: Lompoc

County: Santa Barbara

Zip: 93436

Contact Person: Richard Fernbaugh

Phone: 805-875-8268

FAX: 805-875-8368

E-Mail Address: r_fernbaugh@ci.lompoc.ca.us

Metropolitan Planning Agency/Regional Transportation Planning Agency: Santa Barbara County Association of Governments

Available in alternate formats by request:

California Department of Transportation
Division of Mass Transportation, MS 39
P.O. Box 942874
1120 N Street, Room 3300
Sacramento, CA 95814

<http://www.dot.ca.gov/hq/MassTrans/5316.html>

<http://www.dot.ca.gov/hq/MassTrans/5317.html>

PROGRAM OVERVIEW

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users

On August 10, 2005, the President signed into law the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU). SAFETEA-LU continues a strong fundamental core formula program emphasis coupled with targeted investment, featuring Safety, Equity, Innovative Finance, Congestion Relief, Mobility and Productivity, Efficiency, Environmental Stewardship, and Environmental Streamlining.

The Job Access & Reverse Commute (JARC) and New Freedom (NF) Programs are authorized under the provisions set forth in SAFETEA-LU. These provisions authorize the U.S. Secretary of Transportation to apportion funds to each state for grants to these programs. SAFETEA-LU also includes new planning requirements for the JARC and NF Programs, requiring that projects funded through these programs “must be derived from a locally developed, coordinated public transit-human services transportation plan”.

The Governor of California has designated the California Department of Transportation (Caltrans) Division of Mass Transportation (DMT) as the recipient of all Federal Transit Administration (FTA) Section 5316 JARC and 5317 NF grants for the purpose of administering those funds in accordance to state and federal laws, statutes, and regulations. Caltrans DMT only administers Section 5316 JARC and Section 5317 NF funds allocated to small urbanized areas (50,000 – 200,000 population) and non-urbanized areas (<50,000 population). Large urban area (> 200,000 population) JARC/NF funds are administered by other agencies. (See <http://www.dot.ca.gov/hq/MassTrans/5316.html> and <http://www.dot.ca.gov/hq/MassTrans/5317.html> for additional information on the large urban JARC/NF grant programs.)

FTA SECTION 5316 JARC PROGRAM GOALS

The JARC program goal is to improve access to transportation services to employment and employment related activities for welfare recipients and eligible low-income individuals and to transport residents of urbanized areas and non-urbanized areas to suburban employment opportunities. Toward this goal, FTA provides financial assistance for transportation services planned, designed, and carried out to meet the transportation needs of eligible low-income individuals in all areas. Another goal is to implement coordination of Federally assisted programs and services in order to make the most efficient use of federal resources.

FTA SECTION 5317 NF PROGRAM GOALS

The NF program goal is to provide new public transportation services to overcome existing barriers facing Americans with disabilities seeking integration into the workforce and full participation into society. Lack of adequate transportation is a primary barrier to work for individuals with disabilities. NF also seeks to expand the transportation mobility options available to persons with disabilities beyond requirements of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101, et seq.). The definition of “new service” is any service or activity that was not implemented or operational before August 10, 2005.

GRANT APPLICATION PROCEDURES

A. Eligible Applicants:

Applicants may include state or local governmental bodies, Metropolitan Planning Organizations (MPOs), Regional Transportation Planning Agencies (RTPAs), social services agencies, tribal governments, private and public transportation operators, and non-profit organizations.

B. Eligible Use of Program Funds:

SAFETEA-LU requires that all JARC and NF projects selected for funding must be derived from a locally developed Coordinated Public Transit-Human Services Transportation Plan (Coordinated Plan). The Coordinated Plan identifies existing services, needs, strategies and priorities for low-income individuals, individuals with disabilities and older adults. (Please see Page 7 of this Application.)

C. Eligible Activities for JARC and NF Projects:

Eligible JARC/NF projects may request up to three years of funding per project. Projects approved for multi-year funding will require yearly application updates to ensure compliance with the original approved project scope. Funding commitments in the second and third year are not guaranteed, but will be granted pending the level of federal appropriations to Caltrans DMT. Eligible JARC projects include, but are not limited to:

JARC Operating Activities

- Late night and weekend service
- Guaranteed ride home service
- Shuttle service
- Expanded fixed-route public transit routes
- Demand-responsive service
- Ridesharing and carpooling activities
- Voucher programs

JARC Capital Activities

- Intelligent Transportation Systems (ITS)
- Promotion of operating activities
- Vehicles
- Mobility management activities

NF funds are available for capital and operating expenses that support new public transportation services beyond those required by the Americans with Disabilities Act of 1990 (ADA) and new public transportation alternatives beyond those required by the ADA designed to assist individuals with disabilities with accessing transportation services, including transportation to and from jobs and employment support services. The definition of “new service” is any service or activity that was not implemented or operational before August 10, 2005. Eligible activities include, but are not limited to:

NF Operating Activities

- Expansion of paratransit service beyond the minimum requirements of ADA
- Expansion of hours for paratransit service
- Enhancement of services
- Voucher programs
- Volunteer driver programs

NF Capital Activities

- Acquisition of accessibility equipment beyond ADA requirements
- Purchasing accessible vehicles to support taxi, vanpooling, and/or ridesharing programs*
- Mobility management activities

**Due to limited funding, vehicle purchases should refer to the Elderly & Disabled Specialized Transit Program (FTA Section 5310) and/or Large Urban NF Programs.*

GRANT APPLICATION PROCEDURES

SAFETEA-LU allows projects considered as “mobility management” eligible as a capital expense under the JARC and New Freedom Programs. “Supporting new mobility management and coordination programs among public transportation providers and other human service agencies providing transportation” is specifically referenced as an eligible project in the FTA guidance. Additional eligible JARC and NF activities/information can be found at: http://www.fta.dot.gov/funding/grants_financing_263.html

D. JARC/NF Performance Measures

The following indicators will be used to measure project effectiveness.

1. JARC Program

- Actual or estimated number of jobs that can be accessed as a result of geographic temporal coverage of JARC projects implemented on the current reported year
- Actual or estimated number of rides (as measured by one-way trips) provided as a result of the JARC projects implemented in the current reporting year.

2. NF Program

- Increase or enhancements related to geographic coverage, service quality and or service times that impact availability of transportation services for individuals with disabilities as a result of the New Freedom projects implemented in the current reporting year.
- Additions or changes to environmental infrastructure (e.g. transportation facilities, side walks, etc), technology, and vehicles that impact availabilities of transportation services as a result of the New Freedom projects implemented in the current reporting year.
- Actual or estimated number of rides (measured by one-way trips) provided for individuals with disabilities as a result of the New Freedom projects implemented in the current reporting year.

E. 2007-09 Combined Maximum Grant Award Amount (Federal Funds Only) Per Project/Per Year:

JARC:	\$200,000
NF:	\$125,000

F. Cost Sharing/Match Requirement:

Funds can be used to support up to 80 percent (80/20 match) capital projects, and not more than 50 percent (50/50 match) of projects for operating assistance. The U.S. Department of Transportation (USDOT) program funds cannot be used as a source of local match for other FTA programs, even when used to contract for service. However, some examples of allowable sources for local match monies for JARC and/or NF Programs operating assistance are dedicated tax revenues, private donations, revenue from human service contracts, and net income generated from advertising and concessions. Matching share requirements are flexible to encourage coordination with other federal programs that may provide transportation, such as Health and Human Services or Agriculture. Volunteer services or other in-kind contributions are examples of non-cash share. These contributions are eligible to be counted toward the local match as long as the value of each is documented and supported, represents a cost that would otherwise be eligible under the program, and is included in the net project cost in the project budget. In either case, the cost of providing the contract service is included in the total project cost.

GRANT APPLICATION PROCEDURES

G. Memorandum of Understanding (MOU) for Small-Urbanized Areas Only

Upon request, Caltrans DMT will delegate the competitive project selection process to local agencies with small-urbanized areas for the JARC/NF grant programs. A small-urbanized area's population size is between 50,000 and 200,000. A non-urbanized (rural) area is 50,000 population or less. (See Page 16 of this Application for Small Urbanized Area Contacts.)

Local agencies that have entered into a MOU with Caltrans DMT will conduct the competitive selection process for the small-urbanized area(s) within their jurisdiction. This MOU selection process will be a "first-level" review and scoring of the JARC/NF grant applications before they are forwarded to Caltrans DMT for final review. (Selected projects must still be derived from the locally developed coordinated plan and meet the intent of the JARC and NF grant programs.) The local agency will verify that each applicant meets all the federal requirements of each grant program. The local agency is the primary contact for the first level review prior to submission to Caltrans DMT. (See Page 16 of this Application for Small Urbanized Areas and Contacts.)

If the local agency for your area is conducting the regional first-level review, your application will be mailed directly to that local agency and must be submitted no later than June 2, 2008. After the local agency conducts the first level review and scoring of the project application, they will forward them to Caltrans DMT for final review and scoring verification for a statewide competitive ranking. If a non-MOU local agency for your area is not conducting the first-level review, your application will be mailed directly to DMT and must arrive no later than **August 29, 2008**.

H. Statewide Project Selection Process:

For non-urban (rural) areas and small-urban areas not participating with MOU local agencies, projects will be awarded through a statewide competitive selection process conducted by Caltrans DMT. Project applications will be evaluated for all JARC and NF project applications in accordance with the scoring criteria to determine the extent to which the proposed project meets the overall program goals and objectives of the programs. DMT will establish an application review committee, which will be comprised of staff from non-applicant agencies, such as health and human services agencies, RTPAs and/or MPOs, consolidated transportation services agencies (CTSAs), transit agencies and social services agencies, to review, score and rank the project applications. Caltrans DMT will conduct the entire JARC/NF competitive selection process for non-MOU small-urbanized areas and all rural (non-urban) areas.

I. Application Timeline:

<i>Date</i>	<i>Activity</i>
January 31, 2008	• Call for Projects
Feb. – April 2008	• JARC/NF Grant Workshops
June 2, 2008	• Application Due to Local Agency (MOU-Participants Only)
August 29, 2008	• All Applications Due to Caltrans DMT
Sept. - October 2008	• State Review, Evaluation and Program of Projects Selection
November 2008	• Submission to FTA for Approval

APPLICATION CHECKLIST

APPLICATION DUE to Local Agency (MOU-Participants Only): June 2, 2008

(Refer to Page 16 for a listing of MOU Local Agencies)

ALL APPLICATIONS DUE to Caltrans DMT: August 29, 2008

Applicants should use this checklist to ensure that **all applicable** parts of the application and attachments are completed and submitted. Incomplete applications will automatically be disqualified from participating in the competitive selection process.

APPLICANT ELIGIBILITY

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APPLICANT ELIGIBILITY

Coordinated Plan Certification

Reference: FTA C 9070.1F Section V

The projects selected for funding under the Section 5316 and 5317 program must be “derived from a locally developed, coordinated public transit-human services transportation plan” (Coordinated Plan) that was “developed through a process that includes representatives of public, private, and non-profit transportation and human services providers and participation by members of the public.” (Circular, V-5)

For additional information see the California Coordinated Plan Resource Center website at:
http://www.dot.ca.gov/hq/MassTrans/coor_plan_res.htm.

Required Elements: Projects shall be derived from a coordinated plan that minimally includes four elements and a level consistent with available resources and the complexity of the local institutional environment. (Circular, V-2)


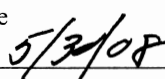
Adoption of a Plan: As part of the local coordinated planning process, the lead agency in consultation with participants should identify the process for adoption of the plan. This grant application must document the local plan from which each project is derived, including the lead agency, the date of adoption of the plan, or other appropriate identifying information. (Circular, V-7 & V-8)

Draft Plan: Agencies who do not have a final adopted Coordinated Plan may submit an application for funding if the project was derived from a Draft Coordinated Plan that had been submitted to Caltrans for review. Approved projects will remain in Category B until the final adopted Coordinated Plan and public participation process has been verified.

Coordinated Plan Lead Agency (Agency preparing the Coordinated Plan)

Agency Santa Barbara County Association of Governments	
Title of Coordinated Plan: Coordinated Public Transit – Human Services Transportation Plan for Santa Barbara County	Date Plan Adopted (attach documentation) November 15, 2007
	Date of Draft Plan
Agency Representative Name (Print) Michael Powers	Title: Deputy Director, Planning
Signature	Date

Grant Applicant

Agency: City of Lompoc	
Agency Representative (Print): Richard Fernbaugh	Title: Aviation/Transportation Administrator
Signature 	Date 

APPLICANT ELIGIBILITY

Private Nonprofit Agency Status Inquiry and Certification

If you are claiming eligibility as a FTA Sections 5316 or 5317 applicant based on your status as a private nonprofit organization, you must obtain verification of your incorporation number and current legal standing from the California Secretary of State Information Retrieval /Certification & Records Unit (IRC Unit). The "Status Inquiry" document must be attached as an appendix to the application. To assist you in obtaining this information, use one of these two methods:

1. To obtain Corporate Records Information over the Internet, go to:
<http://kepler.ss.ca.gov/list.html> and enter your agency name. If you are active, print the page and use that as proof. If you are not active, go to page 2 and follow the directions. If the verification of your status is not available at the time you submit your application, you must indicate the date on which you requested the verification and the estimated date it will be forwarded to the Sections 5316 JARC and 5317 New Freedom Programs.
2. If you are unable to locate the information on line, you can obtain the "Status Inquiry" document by making a written request to:

**Secretary of State
Information Retrieval/Certification Unit (IRC)
1500 11th Street, 3rd Floor, Sacramento, CA 95814.**

Do not submit articles of incorporation, by laws or tax status documentation.

Private Non-profits
Name of Non-profit Applicant:
State of California Articles of Incorporation No.:
Date of Incorporation:

APPLICANT ELIGIBILITY

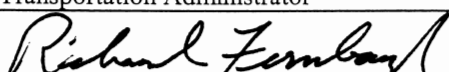
General Certifications and Assurances

The original of the "General Certifications and Assurances" should be signed and dated in blue ink. Use the legal name of your agency exactly as it appears on your Status Inquiry form. If you are a public entity, attach an authorizing resolution, designating a person authorized to sign on behalf of the agency, as an Appendix to the application.

Name of Applicant: City of Lompoc		
Address: 100 Civic Center Plaza, Lompoc, CA 93436		
Contact Person: Richard Fernbaugh	Work Phone 805-875-8268	Work Fax 805-875-8268

- a. The applicant assures that no person, on the grounds of race, color, creed, national origin, sex, age, or disability shall be excluded from participating in, or denied the benefits of, or be subject to discrimination under any project, program, or activity (particularly in the level and quality of transportation services and transportation-related benefits) for which the applicant receives Federal assistance funded by the Federal Transit Administration (FTA).
- b. The applicant assures that it shall not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age or disability and that it shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, creed, national origin, sex, or age.
- c. The applicant certifies that it will conduct any program or operate any facility that receives or benefits from Federal financial assistance administered by FTA in compliance with all applicable requirements imposed by or pursuant to 49 CFR Part 27, "Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance" and the Americans with Disabilities Act of 1990, as amended, at 49 CFR Parts 27,37, & 38.
- d. The applicant assures that it will comply with the Federal statutes, regulations, executive orders, and administrative requirements, which relate to applications made to and grants received from FTA. The applicant acknowledges receipt and awareness of the list of such statutes, regulations, executive orders, and administrative requirements that is provided as references in FTA Circular 9045.1 - "New Freedom Program Guidance and Application Instructions, dated May 1, 2007."
- e. The applicant certifies that the contracting and procurement procedures that are in effect and will be used by the applicant for Section 5316 and 5317 equipment are in accordance and comply with the significant aspects of FTA Circular 4220.1, "Third Party Contracting Guidelines."
- f. The applicant certifies that any proposed project for the acquisition of or investment in rolling stock is in conformance with FTA rolling stock guidelines.
- g. The applicant certifies that it will comply with applicable provisions of 49 CFR Part 605 pertaining to school transportation operations which prohibits federally-funded equipment or facilities from being used to provide exclusive school bus service.
- h. The applicant certifies that it will comply with Government Code 41 U.S.C.701 et seq, and 49CFR, Part 32 in matters relating to providing a drug-free workplace.
- i. To the best of my knowledge and belief, the data in this application are true and correct, and I am authorized to sign these assurances and to file this application on behalf of the applicant.

Certifying Representative

Name (print): Richard Fernbaugh	
Title (print) Aviation/Transportation Administrator	
Signature: 	Date 5-30-08

APPLICANT ELIGIBILITY

LABOR UNION INFORMATION (FTA SECTION 5316 JARC ONLY)

Name of Applicant: City of Lompoc

Project Description: Lompoc/Solvang Shuttle

Union Representation of Applicant's Employees

Organization Name: Teamsters Local 381
 Contact Person: David Latimer
 Address: 115 West Bunny Ave., Santa Maria, CA 93458
 Telephone: 805-922-7875, Ext. 13
 (required) Email : dlatimer@teamsters381.org

Other Surface Public Transportation Providers	<u>Union Representation of Employee If Any</u>
	Organization: Contact Person: Address: Telephone: (required) Email:
	Organization: Contact Person: Address: Telephone: (required) Email:
	Organization: Contact Person: Address: Telephone: (required) Email:

CIVIL RIGHTS

Describe any lawsuits or complaints that have been received or acted on in the last year based on Title VI of the Civil Rights Act or other relevant civil rights requirements; and subrecipient must provide a status of lawsuits or an explanation of how complaints were resolved including corrective actions taken.

(OR)

If **NO** lawsuits or complaints were received or acted on – sub recipient must provide the following statement:

THERE WERE NO LAWSUITS OR COMPLAINTS RECEIVED OR ACTED ON IN THE LAST YEAR RELATING TO TITLE VI OR OTHER RELEVANT CIVIL RIGHTS REQUIREMENTS.

APPLICANT PROFILE AND PROJECT DESCRIPTION

Briefly describe your agency's purpose and services. Supporting documentation must be attached (e.g., agency brochure).

The purpose of the City of Lompoc is to provide transit service that meets the needs of those that are transportation disadvantaged, while helping to reduce traffic congestion and assist air quality attainment. The system will offer a convenient, safe, and reliable transit service and an attractive alternative to the private automobile. It will contribute to the economic well being of the City and County by improving access to employment, shopping, medical, educational, and recreational destinations.

Briefly provide a project summary description. Include begin and end dates.

This project is intended to provide a transit link between the Cities of Lompoc, Buellton and Solvang, offering low-income populations opportunities for job access in Lompoc, Buellton and Solvang. The service start date is projected to be July 2008, with the pilot period ending in June of 2011. The service would also provide connections to Lompoc Transit, Santa Ynez Valley Transit and the Breeze service connecting Lompoc and Santa Maria thereby enhancing the cost and service efficiency of those other federally funded projects.

The SBCAG Board identified this service in May 2007 to be an unmet need, reasonable to meet. The specific requests for this service were for employment, medical and social service trips.

Briefly describe the current population and geographic area(s) that is served and the population and geographic area(s) that will be served by this project. Supporting documentation and an 8-1/2 x 11 map of the service area must be attached.

The current population of the three cities, according to the 2000 census is approximately 52,173. The population of the three communities is largely made up of White and Hispanic races with approximately 6,492 persons being identified as low income, and transit dependent. This service will assist low-income populations by providing additional access to employment opportunities in Lompoc, Buellton and Solvang.

APPLICANT'S ANNUAL BUDGET

1. Annual Budget:

Estimated Income:		
a. Passenger Revenue		\$ 9,360.00
b. Other Revenues		\$
c. Total grants*, donations, subsidy from other agency funds		\$ 63,440.00
TOTAL INCOME		\$ 72,800.00
*Not including this grant request.		
Estimated Expenses:		
a. Wages, Salaries and Benefits (non-maintenance personnel)		\$ 5,000.00
b. Maintenance & Repair (include maintenance salaries)		\$ 11,522.00
c. Fuels		\$ 20,000.00
d. Casualty & Liability Insurance		\$ 1,000.00
e. Administrative & General Expense		\$ 1,000.00
f. Other Expenses (e.g., materials & supplies, taxes)		\$ 2,000.00
g. Contract Services (specify) _____		\$ 32,278.00
TOTAL EXPENSES		\$ 72,800.00

2. Fund Source(s):

SOURCE(S):	<u>AMOUNTS</u>			
	Prior Year (2006)	Current Year (2007)	Budget Year (2008)	Budget Year (2009)
a. Fares	\$	\$	\$ 9,360	\$ 10,300.00
b. Subsidy from other agency funds	\$	\$	\$ 63,440	\$ 66,870.00
c.	\$	\$	\$	\$
d.	\$	\$	\$	\$
		<u>TOTAL</u>	\$ 72,800.00	\$ 77,170.00

3. Local Match:

The local share may be derived from other Federal programs that are eligible to be expended for transportation, other than DOT programs, or from DOT's Federal Lands Highway Program.. Examples of types of programs that are potential sources of local match include: employment, training, aging, medical, community services, and rehabilitation services. Specific program information for other types of Federal funding is available at www.unitedwv.gov

Identify Source(s) of Local Match:	AMOUNTS
Transportation Development Act funds (Year One)	\$ 63,440.00
Transportation Development Act funds (Year Two)	\$ 66,870.00
Transportation Development Act funds (Year Three)	\$ 70,883.00
TOTAL LOCAL MATCH -	\$ 201,193.00

TRANSMITTAL LETTER

JARC/NF PROJECT SUMMARY

A. Applicant Information:				
Legal Name: City of Lompoc				
Address: 100 Civic Center Plaza				
City/State/Zip: Lompoc, CA 93436				
Contact Person: Richard Fernbaugh				
E-mail: r_fernbaugh@ci.lompoc.ca.us				
Phone: 805-875-8268		Fax: 805-875-8368		
<input type="checkbox"/> Current 5310 Recipient		Funding Year (s):		
<input type="checkbox"/> Current 5311 Recipient		<input type="checkbox"/> FFY 2007 Funds - Year 1		
<input type="checkbox"/> Applying for other federal funds this year. Source(s): _____		<input type="checkbox"/> FFY 2008 Funds - Year 2		
		<input type="checkbox"/> FFY 2009 Funds - Year 3		
B. Project Type (check one):				
<input type="checkbox"/> Capital Only (80%)		<input type="checkbox"/> Capital (80%) and Operating (50%)		
<input type="checkbox"/> Operating Only (50%)		<input type="checkbox"/> Mobility Management (80%)		
C. Program (check one): * Due to limited New Freedom funding, vehicle purchases with these funds are discouraged. Refer to the Elderly & Disabled Specialized Transit Program (FTA Section 5310) and/or Large Urban NF Programs.				
<input type="checkbox"/> Small-Urban JARC Project - FTA Section 5316				
<input type="checkbox"/> Non-Urban (Rural) JARC Project - FTA Section 5316				
<input type="checkbox"/> Small-Urban NF Project – FTA Section 5317*				
<input type="checkbox"/> Non-Urban (Rural) NF Project – FTA Section 5317*				
D. JARC/New Freedom Project Information:				
Number of JARC welfare recipients:				
Number of eligible JARC low-income persons:**				
Number of persons with disabilities:				
Project Title/Summary: Lompoc/Solvang Shuttle; provide transit service between the communities of Lompoc, Buellton and Solvang.				
E. Funding Request:				
<i>JARC: \$200,000 Maximum Per Year</i>		Year 1	Year 2**	Year 3**
<i>NF: \$125,000 Maximum Per Year</i>		(FFY 07)	(FFY 08)	(FFY 09)
JARC Small Urban Request:	\$	\$	\$	\$
JARC Non-Urban Request:	\$ 36,400.00	\$ 38,560.00	\$ 40,900.00	
NF Small Urban Request:	\$	\$	\$	
NF Non-Urban Request:	\$	\$	\$	
Total Match Funds: <i>(Non-DOT federal, state, local or private)</i>	\$ 36,400.00	\$ 38,560.00	\$ 40,900.00	
Total Cost of Project:	\$ 72,800.00	\$ 77,120.00	\$ 81,800.00	

****Pending approval of multi-year funded project.**

APPLICATION DEADLINE

APPLICATION DUE to Local Agency (MOU-Participants Only): June 2, 2008

(Refer to Page 16 for a listing of MOU Local Agencies)

ALL APPLICATIONS DUE to Caltrans DMT: August 29, 2008

Four applications (original and three copies) must be submitted to Caltrans, DMT or the MOU local agency (if applicable). Faxed or electronic applications will not be accepted. If the local agency is conducting the first-level review, your application will be mailed directly to that Local agency and must be received no later than **June 2, 2008**. After the local agency reviews and scores the application, they will forward the scored application to Caltrans DMT for review and final scoring verification by the deadline.

If the local agency **is not** conducting the first-level review, four applications (original and three copies) must be submitted directly to DMT no later than **August 29, 2008**.

All non-urban agencies must submit four applications (original and three copies) to Caltrans DMT no later than August 29, 2008.

DMT reserves the right to review the project applications, scores and comments and the regional priority listings from the local agency, in making final recommendations based on established criteria.

Applications must be submitted to the following address:

**California Department of Transportation
Division of Mass Transportation - MS 39
P.O. Box 942874
Sacramento, CA 94274-0001
(916) 657-4192**

Shipping/Receiving Address:
California Department of Transportation
Division of Mass Transportation – MS 39
1415-11th Street
Sacramento, CA 95814

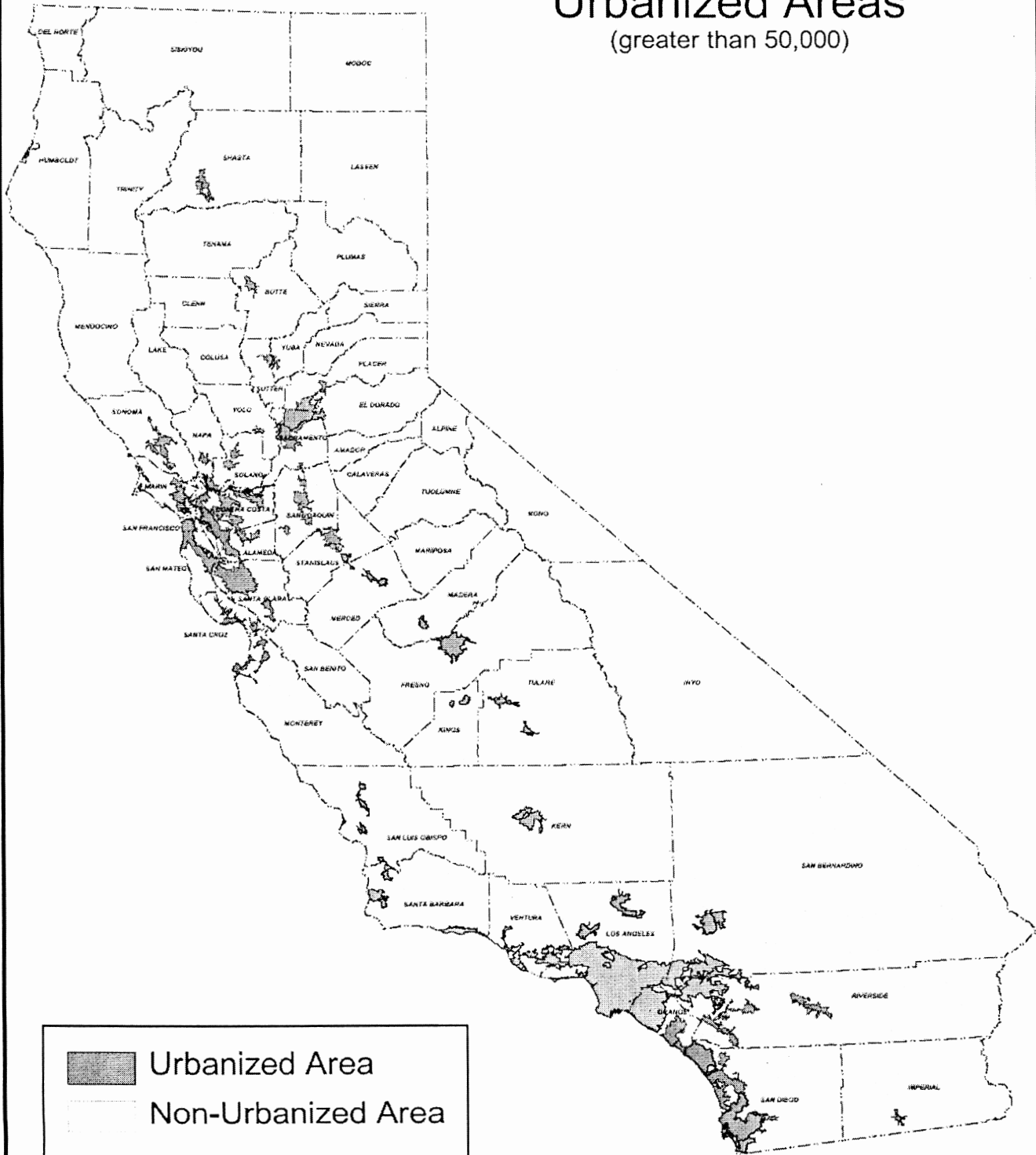
The information in this application is public record. Therefore, applicants should not include information regarded as confidential.

To the best of my knowledge and belief, all data in this application is true and correct. The applicant will comply with the necessary Certifications and Assurances if assistance is awarded.

SMALL URBANIZED AREAS – APPLICATION CONTACTS

RTPA/MPO	Small Urbanized Area	<u>MOU</u> Participant	Due by June 2, 2008 (Unless Otherwise Noted)
AMBAG	Salinas; Santa Cruz; Seaside-Monterey-Marina Watsonville	YES	Mark Griffin 445 Reservation Rd, Ste G, Marina, CA 93933 (831) 883-3750
BCAG	Chico	YES	Ivan Garcia 965 First St., Chico, CA 95928 (530) 879-2468
Kings CAG	Hanford	NO	Caltrans – Division of Mass Transportation by August 29, 2008
MCTC	Madera	NO	Caltrans – Division of Mass Transportation by August 29, 2008
MTC	Fairfield; Gilroy-Morgan Hill Livermore; Napa; Petaluma; Vacaville; Vallejo	YES	Therese Knudsen 101 Eighth Street Oakland, CA 94607 (510) 817-5767
Merced COG	Merced	NO	Marjorie Kirn 369 W. 18 th St., Merced, CA 95340 (209) 723-3153, ext. 306 Anna Fuentes 209-723-3153
SACOG	Davis Yuba City	YES	Jim Brown/Barbara VaughanBechtold, AICP 1415 L St., Sacramento, CA 95814 (916) 340-6221
SBCAG	Lompoc; Santa Barbara Santa Maria	YES	Sarkes Khachek 260 N. San Antonio Rd., Ste B, S. Barbara, CA 93110 (805) 961-8913
SCAG Yuma, AZ--CA	El Centro	YES	Kathi Williams (IVAG) 940 Main Street, Ste. 208, El Centro, CA 92243 (760) 482-4290
SCAG	Hemet	NO	Brian Champion (RCTC) 4080 Lemon Street, 3 rd Floor, Riverside, CA 92502-2208 (951) 787-7141
SCAG	Santa Clarita	YES	Gladys Lowe (LA Metro) One Gateway Plaza, Los Angeles, CA 90012 (213) 922-2459
SCAG	Camarillo Simi Valley	YES	Vic Kamhi (VCTC) 950 County Square Dr., Ste 207, Ventura, CA 93003 (805) 642-1591 X110
SJCOG	Manteca Tracy Lodi	YES	Tanisha Taylor/Sam Kaur 555 E. Weber Ave., Stockton, CA 95202 (209) 468-3913
SLOCOG	Atascadero—El Paso de Robles (Paso Robles); San Luis Obispo	YES	Eliane Guillot 1150 Osos St., Ste 202, San Luis Obispo, CA 93401 (805) 781-5711
Shasta RTPA	Redding	YES	Sue Crowe 1855 Placer St., Redding, CA 96001 (530) 245-6826
Stan COG	Turlock	YES	Vince Harris 900 H St., Ste D, Modesto, CA 95354 (209) 558-7830
Tulare CAG	Porterville Visalia	YES	Marvin Demmers 5961 S. Mooney, Visalia, CA 93277 (559) 733-6653, X4886

Urbanized Areas (greater than 50,000)



PROJECT SCORING CRITERIA

Scores will be calculated within 5-point increments of a 0 – 10-point range per question (i.e, 0-5-10), excluding the B. Operational/Implementation Plan and the Project Budget sections, which is 0 or 20 points. To receive the maximum points per question, each response must be complete and contain the required supporting documentation. Incomplete responses and/or a lack of supporting documentation will result in reduced score(s).

A. Does the project meet the JARC or New Freedom Program Goals and Objectives? (20 total points)

1. An applicant must be consistent with the overall JARC or New Freedom program goals and objectives, as listed in the program goals on Page 2 of this application. (10 points maximum)
2. Applicant demonstrates how project activities directly address transportation gaps and/or barriers identified through the locally developed human services transportation planning process within their communities. (Applicant indicates the section/page number in the Coordinated Plan addressing the gaps and/or barriers). (10 points maximum)

B. Operational/Implementation Plan? (20 points)

3. Applicant provides a well-defined operations plan with defined routes, schedules, current/projected ridership, key personnel, and marketing strategies with supporting documentation for carrying out the project. For Capital and Mobility Management projects, applicant provides an implementation plan that includes project tasks, timeframes, benchmarks, key milestones, key personnel, deliverables and estimated completion date with supporting documentation. Both the operations and implementation plans must identify key personnel assigned to this project and their qualifications, including resumes and certifications as supporting documentation. Applicants must demonstrate their institutional capability to carry out the service delivery aspect of the project.

C. Describe the Program Effectiveness and Performance Indicators (20 total points):

4. Applicant identifies clear measurable outcome-based performance measures and indicators to track the effectiveness of the project as described in page 4 of the application. Applicant states the number of persons to be served, trip purpose(s), and the number of trips. Additional measurable units of service can also be used. Applicant must describe the outcome (impact) that the project will have on low-income (JARC) or individuals with disabilities (New Freedom). (10 points maximum)
5. Applicant describes a process that details the ongoing monitoring and evaluation of the project or service, including methodologies and desired outcomes based upon the performance objectives identified in Question 4. (10 points maximum)

D. Communication and Outreach (20 total points):

6. Stakeholder list should include, but not be limited to, Health and Human Services Agencies, public/private sector, non-profit agencies, transportation providers, and members of the public representing low-income (JARC) and individuals with disabilities (New Freedom). Applicants will be evaluated based on their ability to coordinate with other community transportation and/or social service resources. (10 points maximum)
7. Applicants must keep stakeholders involved and informed of project activities throughout the project timeline. Applicant must also describe how they would promote public awareness of the project. Three (3) letters of support from stakeholders must be attached to the grant application. (One of the three support letters may come from a client of the proposed project.) (10 points maximum)

E. Provide the Applicant's Organization and Specific Project Budget (0 or 20 points):

Please provide your budget information on the form(s) supplied on the next page. A complete listing of budgetary information will be necessary to receive the full 20-point value. *Failure to provide all of the required information will result in 0 points for this question.* Applicants must submit a clearly defined project budget, indicating anticipated project expenditures and revenues, including documentation of matching funds.

PROJECT NARRATIVE

In the space provided, please provide a brief narrative to describe the project. Refer to the Project Scoring Criteria on page 17 for additional guidance on each of the questions. To receive the maximum allowable points per question, each response will be reviewed and scored for clarity, completeness and accuracy. The project must address each of the following:

A. Goals and Objectives

1. Briefly describe how your proposed project is consistent with the goals and objectives of either the JARC or New Freedom grants programs, as listed in the program goals on Page 2 of this application. (10 points maximum)

This project will provide improved transportation access to employment and employment related activities by providing a new service connecting the non-urbanized communities of Solvang and Buellton with the urbanized area of Lompoc and by connection with the Breeze service in Lompoc to the urbanized area of Santa Maria. The coordination of this service with Santa Ynez Valley Transit and with the Breeze service provides for increased efficient use of federal resources.

2. Specify how your project addresses the gap(s) and/or barrier(s) identified through your locally developed human services transportation planning process (Coordinated Plan). (Indicate the section/page number in the Coordinated Plan addressing the gaps and/or barriers) (10 points maximum)

This project addresses past request for transit service between Lompoc and the cities of Buellton and Solvang, noted on pages 36 and 37 of the Coordinated Plan. It also addresses the need, identified on page 40 of the Coordinated Plan, for expansion of interregional transit services.

B. Operational/Implementation Plan

3. For Operating projects, describe your operational plan that includes defined routes, schedules, current/projected rider ship, key personnel, and marketing strategies. For Capital and Mobility Management projects, describe your implementation plan that includes project tasks, timeframes, benchmarks, key milestones, key personnel, deliverables and estimated completion date. Attach supporting documentation to substantiate this plan(s). (20 points maximum)

The major part of the route will run on Hwy 246 between Lompoc and Solvang. The schedule will provide three round trips a day in the morning, midday and late afternoon with each round trip being about 1 hour in duration. Projected rider ship for the first year is anticipated to be 4,700. The service will be operated by the City of Lompoc and its contractor, AmericanStar Transportation. The City of Lompoc will maintain the bus, to be utilized in this service, with its current staff. Marketing strategies will be handled by the City of Lompoc and its consultant Moore and Associates. Marketing will include advertisements in local newspapers, and printed materials distributed to various outlets in each of the cities to be served as well as flyers on both City of Lompoc Transit (COLT) and Santa Ynez Valley Transit (SYVT).

C. Program Effectiveness and Performance Indicators

4. Identify the performance measures and indicators to track the effectiveness of your proposed JARC/New Freedom project and identify the number of persons you anticipate to be served and the number and purpose of trips (and other measurable units of service) the project will provide. Include the desired outcome (impact) that the project will have on low-income (JARC) or individuals with disabilities (See page 4 for JARC/New Freedom performance measures). (10 points maximum)

The performance measures and indicators will be; rider ship per hour, passengers per revenue mile and operation cost per hour. In addition the target fare box recovery is 10% at the end of the three-year pilot period. We anticipate serving 4,700 riders the first year of the project, which would provide about 780 round trips.

The desired outcome this service will have on low-income individuals is to provide improved transportation access to employment and employment related activities.

5. Based upon the performance objectives and outcomes identified in Question 4 above, describe your methodologies and procedures for ongoing monitoring and evaluation of the project or service. (10 points maximum)

AmericanStar will monitor the project on a daily basis. On a monthly basis the City of Lompoc will secure a report detailing rider ship per hour, passengers per revenue mile and operating cost per hour. These reports will be reviewed by the Technical Advisory Committee, which will meet at least twice a year.

D. Communication and Outreach

6. List all stakeholders involved in the project. List should include, but not be limited to, Health and Human Services Agencies, public/private sector, non-profit agencies, transportation providers, and members of the public representing low-income (JARC) and individuals with disabilities (New Freedom). Attach three (3) letters of support from stakeholders to the grant application. (10 points maximum)

Some of the Stakeholders are:

1. Cities of Lompoc, Buellton, Solvang and the County of Santa Barbara
2. Santa Barbara County Association of Governments
3. Santa Ynez Valley People Helping People
4. Community Partners in Caring
5. Children and Families Commission
6. LOVARC
7. Lompoc Hospital District
8. Hancock College – Lompoc Valley Center

7. Describe how you will promote public awareness of the project and how you will keep stakeholders involved and informed throughout the project. (10 points maximum)

Our on going marketing program will continually promote public awareness to residents of the three cities and the county areas of both the Lompoc and Santa Ynez Valleys. Stakeholders will be advised through meetings on the Santa Barbara County Transit Advisory Committee and through public media releases.

Project Budget

8. Applicants should provide a complete budget plan, showing revenues and expenditures in the format provided below. Estimate the proposed cost per trip (or other unit of service). Briefly describe efforts to ensure its cost-effectiveness. (0 or 20 points)

The estimated cost per trip is approximately \$93.00.

Efforts to ensure cost – effectiveness would include, but not be limited to, maintaining vehicles to ensure efficient operation, securing best available fuel pricing, maintaining the best available operations contract and marketing the service to secure the best rider ship possible.

**FTA SECTION 5316 JARC/5317 NEW FREEDOM PROGRAM
Proposed Budget – Operating Assistance Project**

Applicant: City of Lompoc
Contractor (if applicable): _____
Project Period: July 2008 to June 2011
Program Type: JARC New Freedom

(1) **Total Operating Expenses (Itemize)**

Wages, Salaries and benefits	\$5,000		
Maintenance, Repairs and Fuel	\$31,522		
Operations Contract	\$32,278		
Administrative (materials, supplies, Ins.)	\$4,000		
TOTAL OPERATING EXPENSES	\$72,800	\$72,800	(1)

(2) **Less Fare box and Other Revenue (For Public Operators Only)**

Fares	\$9,360		
	\$		
	\$		
	\$		
TOTAL FAREBOX AND OTHER REVENUE APPLIED AGAINST ELIGIBLE EXPENSES	\$9,360	\$9,360	(2)

(3) **Less Ineligible Expenses (within operating expense) ****

	\$		
	\$		
	\$		
	\$		
TOTAL INELIGIBLE EXPENSES	\$	\$	(3)

(4) **NET PROJECT COST (Line 1 – Line 2 – Line 3)** \$ (4)

(5) **Local Share (Itemized by Source Type & Amount)**

Transportation Development Act Funds	\$31,720		
	\$		
	\$		
TOTAL LOCAL SHARE	\$	\$31,720	(5)

(6) **FEDERAL SHARE*** \$31,720 (6)

(7) **BUDGET SUMMARY: Local Share + Federal Share = Net Project Cost**

LOCAL SHARE: (50%)	\$31,720	(5)
FEDERAL SHARE: (50%)	+ \$31,720	(6)
NET PROJECT COST:	= \$63,440	(7)

** Examples of ineligible expense may include lobbying, depreciation, contributions, inter-department salary, etc.

**FTA SECTION 5316 JARC/5317 NEW FREEDOM PROGRAM
Proposed Budget – Capital Project**

Applicant: City of Lompoc

Project Description: Lompoc/Solvang Shuttle

Program Type: JARC New Freedom

ITEM DESCRIPTION	COST
<u>(1) Transit Bus</u>	<u>\$115,107</u>
<u> </u>	<u>\$</u>
<u> </u>	<u>\$</u>
<u> </u>	<u>\$</u>
<u> </u>	<u>\$</u>
NET PROJECT COST:	<u>\$115,107</u>

LOCAL SHARE (Itemize by Source Type & Amount)	AMOUNT
<u>Transportation Development Act Funds</u>	<u>\$23,021</u>
<u> </u>	<u>\$</u>
<u> </u>	<u>\$</u>
<u> </u>	<u>\$</u>
<u> </u>	<u>\$</u>
TOTAL LOCAL SHARE = Allowable Percentage (20%)	<u>\$23,021</u>
TOTAL FEDERAL SHARE= Allowable Percentage (80%)	<u>\$92,086</u>

FUNDING PROGRAM	LOCAL SHARE	FEDERAL SHARE
FTA Section 5316 and 5317 – Capital Projects	20%	80%

OTHER EQUIPMENT

Includes communication equipment, computer equipment - hardware and/or software or any other miscellaneous equipment (maintenance, cameras, GIS). The equipment must be used to support your transportation operation in proportion to the number of vehicles you operate in your transportation program. The applicant must submit 3 estimates of equipment with this application. The average of the 3 estimates will be the requested grant amount. If equipment is to be sole sourced written justifications must be attached.

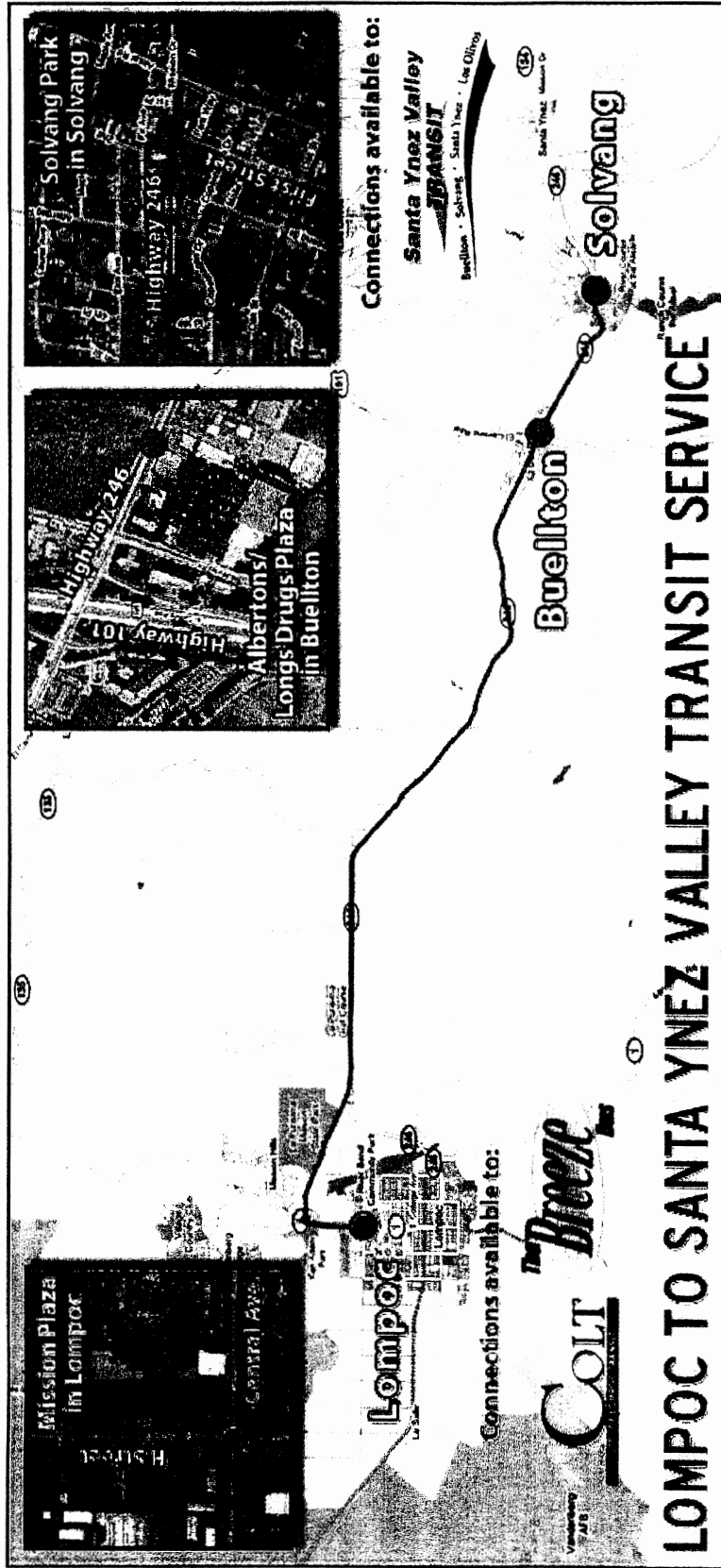
Describe the type of equipment you are interested in purchasing. Specifically identify the components and provide cost estimates for each item. Please include a detailed description of the make and year model of equipment to be replaced.

N/A

Discuss how the requested ancillary equipment will be used to support the transportation program. Discuss any expected improvements in service delivery or coordination and any reduction in the cost to provide service. If computer equipment is being requested, also describe current method of collecting and tracking information.

N/A

ATTACHMENT A: Proposed Lompoc to Santa Ynez Valley Transit Service Map



LOMPOC TO SANTA YNEZ VALLEY TRANSIT SERVICE